

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Nobuyuki Tanaka E

Examiner: Hussein Akhavannik

Serial No.: 09/678,451

Art Unit: 2621

Filed: October 3, 2000

**Docket:** 13943

For: SYSTEM AND APPARATUS FOR

Dated: October 28, 2003

INSERTING ELECTRONIC WATERMARK DATA

RECEIVED

NOV 0 4 2003

Commissioner for Patents Alexandria, VA 22313-1450

**Technology Center 2600** 

## INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R §§1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

- 1. Japanese Laid-Open Patent Application No. 11-164235, published June 18, 1999; and
- 2. Japanese Laid-Open Patent Application No. 11-55639, published February 26, 1999.

The references were cited in an Official Action dated October 2, 2003 received from the Japanese Patent Office. Applicants are submitting copies of the above-cited

## CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on October 28, 2003,

Dated: October 28, 2003

Paul J. Esatto, Jr.

references, together with an English translation of the Examiner's comments regarding the

references from the Official Action. The relevance of the references are described in the Official

Action.

In compliance with the requirements of 37 C.F.R. §1.98(a)(3), as a concise

statement of relevance, as it is presently understood by the individual designated in 37 C.F.R.

§1.56(c) most knowledgeable about the content of the information, the undersigned attorney of

record submits a translation of portions of an official action by a foreign examiner in which the

references were cited. The relevance to the pending U.S. patent application is that the references

were cited in a foreign patent application on the same subject matter. However, no independent

analysis of the references, the accuracy of the statement of the foreign examiner or the claims of

the foreign application under the laws of that country or the United States relative to the subject

matter claimed in the present application has been made; the present understanding of the

contents thereof by the undersigned being based on the translation of the foreign examiner's

comments submitted herewith.

Inasmuch as this Information Disclosure Statement is being submitted in

accordance with the schedule set out in 37 C.F.R§1.97(c)(1) and (e)(1), a statement is enclosed.

Respectfully submitted,

Paul J. Æsatto, Jr.

Registration No. 30,749

Scully, Scott, Murphy & Presser 400 Garden City Plaza

Garden City, New York 11530

(516) 742-4343

PJE/SMW: 1f

OCT 3 1 2003 E (UI	Docket No. 13943			
š/	opplication Of: Nobuvuki Tanaka			
Serial No. 09/678,451	Filing Date October 3, 2000	Examiner Hussein Akhavannik	Group Art Unit 2621	
Title:				
SYSTEM AND APPARAT	TUS FOR INSERTING ELECTRO	ONIC WATERMARK DATA	RECEIVED	
			NOV 0 4 2003	
	Commission P.O.	dress to: oner for Patents Box 1450 VA 22313-1450	Technology Center 2600	
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application; before	e the mailing of a first Office Acti ing of a request for continued ex	on on the merits, or before the	mailing of a first Office	
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In Re Action: Nobuyuki Tanaka  Serial No. Filing Date Examiner Group Art Unit 09/678,451 October 3, 2000 Hussein Akhavannik 2621  VICTUM AND APPARATUS FOR INSERTING ELECTRONIC WATERMARK DATA  RECEIVED	-671 "	F INFOR TION DISCLO Under 37 CFR 1.97(b) or 1.9		Docket No. 13943
O9/678,451  October 3, 2000  Hussein Akhavannik  2621  **STEM AND APPARATUS FOR INSERTING ELECTRONIC WATERMARK DATA  Payment of Fee  Technology Center 2  (Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))  A check in the amount of is attached.  The Director is hereby authorized to charge and credit Deposit Account No. 19-1013/SSMP as described below.  Charge the amount of Credit any overpayment.  Charge any additional fee required.  Certificate of Transmission by Facsimile*  Certificate of Mailing by First Class Mail  I certify that this document and authorization to charge deposition as first class mail under 37 CFR 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.  (Date)  **This certificate may only be used if paying by deposit account.  Dated: October 28, 2003  Dated: October 28, 2003  **This Cortificate may only Presser Garden City, NY 11530	<u>~</u> 7	Nobuyuki Tanaka		
Payment of Fee Technology Center 2  (Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))  A check in the amount of is attached. The Director is hereby authorized to charge and credit Deposit Account No. 19-1013/SSMP as described below. Charge the amount of Credit any overpayment. Charge any additional fee required. Certificate of Transmission by Facsimile*  Certificate of Transmission by Facsimile Certificate of Mailing by First Class Mail  I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F.  Signature Typed or Printed Name of Person Signing Certificate  *This certificate may only be used if paying by deposit account.  Dated: October 28, 2003  *Il J. Esatto, Jr. Typed or Printed Name  Dated: October 28, 2003  Control of the Date of Cettober 28, 2003  Dated: October 28, 2003	Serial No.	Filing Date	Examiner	Group Art Unit
Payment of Fee  Technology Center 2  (Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))  A check in the amount of is attached. The Director is hereby authorized to charge and credit Deposit Account No. 19-1013/SSMP as described below, Charge the amount of Charge the amount of Credit any overpayment. Certificate of Transmission by Facsimile*  Certificate of Transmission by Facsimile*  Certificate of Mailing by First Class Mail  I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F:  Signature  Signature  *This certificate may only be used if paying by deposit account.  Dated: October 28, 2003  Signature  Dated: October 28, 2003  Account No. 19-1013/SSMP  Certificate of Mailing by First Class Mail  Certify that this document and fee is being deposited on 10/28/03 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, V.N. 22313-1450.  Dated: October 28, 2003	09/678,451	October 3, 2000	Hussein Akhavannik	2621
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(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))  □ A check in the amount of is attached. □ The Director is hereby authorized to charge and credit Deposit Account No. 19-1013/SSMP as described below. □ Charge the amount of □ Credit any overpayment. □ Charge any additional fee required. □ Certificate of Transmission by Facsimile*  □ Certificate of Transmission by Facsimile* □ Certifity that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA. 22313-1450.  □ (Date) □ Signature □ Typed or Printed Name of Person Signing Certificate  *This certificate may only be used if paying by Signature □ I.J. Esatto, Jr. □ Typed or Printed Name □ Dated: October 28, 2003 □ Dated: October 28, 2003 □ ULLY, SCOTT, MURPHY & PRESSER □ Garden City Plaza □ Independent of the United States of Page 12, 2003 □ Certificate of Mailing by First Class Mail □ Certify that this document and fee is being deposited on 10/28/03 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA. 22313-1450. □ Dated: October 28, 2003 □ Dated: October 28, 2003 □ Dated: October 28, 2003				NOV 0 4 2003
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Signature  Signature  Signature  Signature of Person  Paul J. Esatto, Jr.  Typed or Printed Name of Person Signing Certificate  *This certificate may only be used if paying by deptisit account.  Dated: October 28, 2003  Signature  I. J. Esatto, Jr.  istration No. 30,749  ULLY, SCOTT, MURPHY & PRESSER  Garden City Plaza  den City, NY 11530	🗵 Charge a	ny additional fee required.		
*This certificate may only be used if paying by deposit account.  Dated: October 28, 2003  Signature  I. J. Esatto, Jr.  Distriction No. 30,749  ULLY, SCOTT, MURPHY & PRESSER  Garden City Plaza  den City, NY 11530	Certificate of Tourish Countries that this documn account is being facsions.	ny additional fee required.  Fransmission by Facsimile*  ent and authorization to charge deposit mile transmitted to the United States	l certify that this document and f on 10/28/03 with th as first class mail under 37 addressed to the Commissioner	ee is being deposited e U.S. Postal Service C.F.R. 1.8 and is for Patents, P.O. Box
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STATEMENT UNDER 3 FR 1.97(e) ACC 3 1 2003 LINFORMATION DISCLOSURE STA		Docket No. 13943			
In Re Application Of: Nobuyuki Tanaka					
Serial No. Filing Date 09/678,451 October 3, 2000	Examiner Hussein Akhavannik	Group Art Unit 2621			
Invention:					
SYSTEM AND APPARATUS FOR INSERTING ELECTRO	ONIC WATERMARK DATA	RECEIVED			
		NOV 0 4 2003			
TO THE COMMISSION	ONER FOR PATENTS:	Technology Center 2600			
This is a statement under the provisions of 37 CFR 1.97(e		* .			
This is a statement under the provisions of 37 CFK 1.97(e	) in the above-identified application	<b>1.</b>			
Check applicable statement herebelow:					
Statement Under	r 37 CFR 1.97(e)(1)				
Each item of information contained in the accompa any communication from a foreign patent office in months prior to the filing of the Information Disclosu	n a counterpart foreign applicatio	ement was first cited in not more than three			
Statement Under	r 37 CFR 1.97(e)(2)	*			
No item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.					
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Signature	Dated: October 28, 2003				
Paul J. Esatto, Jr.					
Registration No. 30,749	Certificate of Ma	illing by First Class Mail			
Scully, Scott, Murphy & Presser	I certify that this documer				
400 Garden City Plaza	11	ith the U.S. Postal Service as first			
Garden City, NY 11530 (516) 742-4343		R. 1.8 and is addressed to the s, P.O. Box 1450, Alexandria, VA			
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cc:

Paul J. Esatto, Jr.

Typed or Printed Name